

Department: UAMS Institutional Review Board
Policy Number: 7.2
Section: Procedures for Study Review
Effective Date: July 31, 2002
Revision Date: May 7, 2004; February 8, 2005; January 24, 2011

SUBJECT: Principal Investigator Qualifications

Principal Investigator Requirements:

1. Training

1.1 In order to best protect those participating in research, Principal Investigators (PI) should have the necessary training and background to conduct studies in accordance with the protocol, organizational policies and procedures, applicable regulations, such as those concerning IRB review, informed consent requirements, reporting requirements, maintenance of records, retention of records, and supervision of research conduct.

1.2 Research specific training courses as required by UAMS Administrative Guide Policy 16.1.06.

2. Resources

2.1 Before undertaking a project, the PI must verify that has the time, equipment and necessary staff in terms of numbers and/or qualification in order to conduct the research in a way that will protect participants.

3. No Unmanageable Conflict of Interest

3.1 All PIs must reveal on their application to the IRB whether they or any other person responsible for the design, conduct, or reporting of the research has an economic interest in, or acts as an officer or a director of any outside entity whose financial interests would reasonably appear to be affected by, the research.

NOTE: The IRB will not review research with a declared financial interest until the Conflict of Interest Committee has completed its evaluation and management plan. See IRB Policy 2.2.

4. Additional Requirements for Student Conducted Research.

4.1 All activities that meet the definition of Human Research as outlined by IRB Policy 1.4 whether conducted by students or others must be reviewed by the IRB. Fellows and Resident physicians are considered students.

NOTE: Oversight by Faculty/Advisor is required. All students applying as PI on a study for IRB review must list their faculty advisor as a co-investigator or responsible staff member.